

## NATIXIS INTERNATIONAL FUNDS (DUBLIN) I PLC

### Important Information About Your Personal Data

We consider shareholder relationships to be the hallmark of our business and are dedicated to protecting the confidentiality of any personal data provided to us. In accordance with Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (the “**Data Protection Law**”), the Company, acting as data controller, collects, stores and processes by electronic or other means, the data supplied by shareholders for the purpose of fulfilling the services required by the shareholders and complying with its legal obligations.

This Annex I provides you with important information about how we protect and process this personal data. Please ensure you have read and fully understand this information.

Please note that any capitalized terms not defined within this Annex I have the same meanings assigned to them in the Prospectus for Natixis International Funds (Dublin) I plc.

#### Who We Are

Natixis International Funds (Dublin) I, p.l.c. (the “Company”) will be what’s known as the “controller” of certain personal data that is obtained in relation to you and which is processed by Brown Brothers Harriman Fund Administration Services (Ireland) Ltd. (the “Administrator” or “Registrar and Transfer Agent”), as well as the Company’s other service providers as detailed below, on the Company’s behalf.

Please see below for full contact details of the Company and the Administrator.

#### Types of Personal Data

The Company processes your personal data as provided to it or its relevant service providers via the completed Application Form, such as ~~your name, date of birth, place (city or country) of birth, citizenship(s), profession, type of account, origin of funds, registered or correspondence address, country of residence, telephone number, facsimile number, email address, bank details, country of tax residence, tax identification number, investor category and your signature.~~ The Company may also process personal data relating to your investment in a Fund, such as interest payments, dividends and other incomes, proceeds from the sale or redemption of Shares and account balances. For the purpose of the Company’s AML/KYC procedures, the Company may also be required to process identification documents, such as passport details, driver’s license, and/or national tax identification number.

If you are a legal person (as opposed to a natural person), this same type of information regarding your contact person(s) and/or beneficial owner(s) may also be collected.

*6<sup>th</sup> Floor, 2 Grand Canal Square, Dublin 2, Ireland.*

*Directors: Daniel Morrissey, John Gallagher (US), Jason Trepanier, Lynda Wood (nee Schweitzer) (US), John Nolan, Christine Kenny*

*Registered in Ireland as an investment company with variable capital and having segregated liability between its sub-funds under registration no. 267219*

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### Purpose and Legal Basis for Processing the Personal Data

In compliance with the Data Protection Law, the Company must inform you of the purpose, and the legal basis, for collecting and processing your personal data. Personal data supplied by you are processed in order to enter into and execute the subscription into the Fund, for the legitimate interests of the Company and to comply with the legal obligations imposed on the Company. In particular please note that the Company is required to collect, utilize, transmit, and otherwise process your personal data for the following purposes:

- (i) to facilitate your subscriptions, redemptions and conversions of Shares of the Funds;
- (ii) to process, manage and administer your holdings in a Fund, and any related accounts, on an on-going basis;
- (iii) to provide you with reporting, communications and other shareholder services related to your investments in a Fund;
- (iv) to comply with legal or regulatory requirements applicable to the Company, our service providers and their affiliates, or to you as a result of being an investor in a Fund, including the Company's obligations under applicable company law and anti-money laundering legislation as well as other applicable regulation like the FATCA Law and the CRS Law (as defined under section "FATCA and CRS" of the application form); and
- (v) to protect the legitimate interests of the Company.

These legitimate interests are:

- (i) the processing purposes described in points (i) to (v) above;
- (ii) meeting and complying with the Company's accountability requirements and regulatory obligations globally; and
- (iii) exercising the business of the Company in accordance with reasonable market standards.

### Third Party Recipients of your Personal Data

Personal Data may also be processed by the Company's data recipients which, in the context of the above-mentioned purposes, refers to Natixis Investment Managers S.A., the Administrator, Registrar and Transfer Agent, other third party service providers, and affiliates (the "**Recipients**"). A list of Recipients is specified in the chart below.

The Recipients may, under their own responsibility, disclose the personal data to their affiliates, agents and/or delegates (the "**Sub-Recipients**"), which shall process the personal data for the sole purposes of assisting the Recipients in providing their services to the Company and/or assisting the Recipients in fulfilling their own legal obligations.

The Recipients and the Sub-Recipients may, as the case may be, process personal data:

- (i) as data processors (when processing personal data upon the Company's instructions, to assist the Company in the context of the aforementioned purposes (in which case, appointment of Sub-Recipients is subject to the Company's prior consent) or
- (ii) as distinct data controllers (when processing personal data for their own purposes).

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### International Transfer of your Personal Data

Some of these Recipients (or Sub-Recipients, as the case may be) are located in countries outside of the European Economic Area (“EEA”), and the data privacy laws in these countries, if any, may not provide an adequate level of protection. In this case, such transfers shall be made on the basis of appropriate and suitable safeguards in order to ensure a similar level of protection of your personal data– namely on the basis of adequate contractual arrangements, which may take the form of the EU Commission “Model Clauses”. The details and copies of these contractual arrangements are available from the Administrator upon request. Please see the end of this Annex I for the corresponding contact information.

Recipient	Non-EEA Country/ies
Brown Brothers Harriman & Co. and its Sub-Recipients	United States Hong Kong
Natixis Investment Managers S.A. and its Sub-Recipients	United States
RBC Investor Services Bank S.A. and its Sub-Recipients	N/A
Pricewaterhouse Coopers Chartered Accountants	N/A
Wilton Secretarial Limited	N/A
William Fry	N/A

In addition to the Recipients and Sub-Recipients noted above, personal data may also be transferred to third parties such as governmental or regulatory agencies, including tax authorities, in accordance with applicable laws and regulations. In particular, personal data may be disclosed to the Irish tax authorities, which in turn may, acting as data controller, disclose the same to foreign tax authorities.

### Duration of Storage

Your personal data will not be retained for a period longer than necessary for the purposes of the data processing (as described above), subject to the statutory limitation periods set out under applicable laws and regulations. This means that your personal data is processed by the Company and its service providers for as long as you are invested in Shares of a Fund. However, even in the event you redeem your Shares, your personal data may need to be maintained for a longer period of time, in order for the Company and its service providers to comply with laws and regulations that may be applicable to them, or to your investment in a Fund.

The criteria used to determine the retention periods include:

- (i) the length of relationship with the Company;
- (ii) whether there is a legal obligation to which the Company is subject (such as retaining personal data obtained for the performance of AML and related checks, which must be kept for 5 years after termination of the relationship); and
- (iii) whether retention is advisable in light of the Company's legal position (such as with respect to statutes of limitations, litigation or regulatory investigations).

### Your Rights

Under certain conditions set out by the Data Protection Law, you have a right:

- (i) to access the personal data collected from you (i.e. the right to obtain from the Company confirmation as to whether or not personal data are being processed, to be provided with certain

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information about the Company's processing of the personal data, to access that data, and to obtain a copy of the personal data undergoing processing (subject to legal exceptions));

- (ii) to object to the processing of your personal data (i.e. the right to object, on grounds relating to your particular situation, to processing of personal data which is based on the performance of a task carried out in the public interest or the legitimate interest of the Company.) The Company shall stop such processing unless it/they can either demonstrate compelling legitimate grounds for the processing that override your interests, rights and freedoms or that it needs to process the data for the establishment, exercise or defense of legal claims);
- (iii) to request correction of any such information if it is inaccurate or incomplete (i.e. the right to require from the Company that inaccurate or incomplete personal data be updated or corrected accordingly);
- (iv) to ask for the erasure of your personal data (unless other applicable laws require the Company or the Recipients to maintain a copy of this information for a certain period of time);
- (v) to ask for data portability (i.e. the right to have the data transferred to you or another controller in a structured, commonly used and machine-readable format, where this is technically feasible); and
- (vi) to restrict such data processing activities.

If you wish to exercise any of these rights, please utilize the contact details provided at the end of this document.

If you are not happy with how we are treating your personal information, you also have a right to lodge a complaint with the Irish data protection commissioner or, in case you reside outside of Ireland, with the locally competent supervisory authority within the European Union.

### **Failure to Provide the Personal Information**

Provision of your personal information to the Administrator in connection with your investment in Shares of a Fund is mandatory because the Company, its service providers and each of their affiliates must collect and process such information in order to comply with applicable law. Therefore, in the event you do not provide the Administrator with such necessary personal information, you will not be permitted to invest in Shares of a Fund.

With respect to existing shareholders, any shareholder that fails to comply with the Company's (or each Fund's) documentation or Information requests may be subject to liability for fines and/or penalties imposed on the Company (or each Fund) and attributable to such shareholder's failure to provide the Information, or to disclosure by the Fund to the local authority of the name, address and taxpayer identification number (if available) of the shareholder, as well as financial information such as account balances, income and gross proceeds from sales to its local tax authority under the terms of the applicable law.

### **Contact Details for the Company and the Administrator**

Mailing address:

Natixis International Funds (Dublin) I plc  
Brown Brothers Harriman Fund Administration Services (Ireland) Limited  
30 Herbert Street

## **NATIXIS INTERNATIONAL FUNDS (DUBLIN) I PLC**

Dublin 2, Ireland

For your information and convenience, the following dedicated phone and email address are also made available to you for any inquiry in connection with the protection of your personal data:

Telephone: + 353 1 603 64 50

Email: [Natixis\\_Dublin@BBH.com](mailto:Natixis_Dublin@BBH.com)